



# **ADULT SEQUENTIAL INTERCEPT MODEL (SIM)**

**CRIMINAL JUSTICE AND BEHAVIORAL HEALTH PARTNERSHIPS**

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# Sequential Intercept Model (SIM)

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**Developed** by Mark Munetz, MD, Patricia A. Griffin, PhD, and Henry J. Steadman, PhD **to help communities understand and improve criminal justice system interactions and responses to people with mental and substance use disorders**

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First envisioned as a **strategic planning tool**, SAMHSA adopted the model in 2005, which has evolved to include behavioral health responses prior to justice system interactions and is now **widely used by jurisdictions across the nation** participating in the Stepping Up Initiative **to reduce the prevalence of people with mental illness and substance use disorders in jails**

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The SIM focuses on services for justice involved individuals with significant behavioral health needs, **identifying where/how justice and behavioral health agencies work together as people come into contact with and move through the criminal justice system**

# Sequential Intercept Model (SIM): What It Is

A tool to inform stakeholders about programs and services available at different points along the criminal justice continuum



A map of pathways through the justice system that helps identify gaps, resources, and opportunities at different stages in the justice system for adults with mental and substance use disorders



A standardized mechanism for collecting, analyzing, and reporting on key data elements for data-informed decision making and guiding further development of specialized service pathways to address service gaps and reduce future justice system involvement

# Sequential Intercept Model (SIM): What It Covers

## INTERCEPT 0:

Crisis Care,  
Respite, and  
Community  
Response  
Continuum

## INTERCEPT 1:

Calls for  
Emergency  
Service (911),  
Law  
Enforcement  
and Co-  
Response

INTERCEPT 2:  
Initial Detention  
and Initial  
Court Hearings

## INTERCEPT 3:

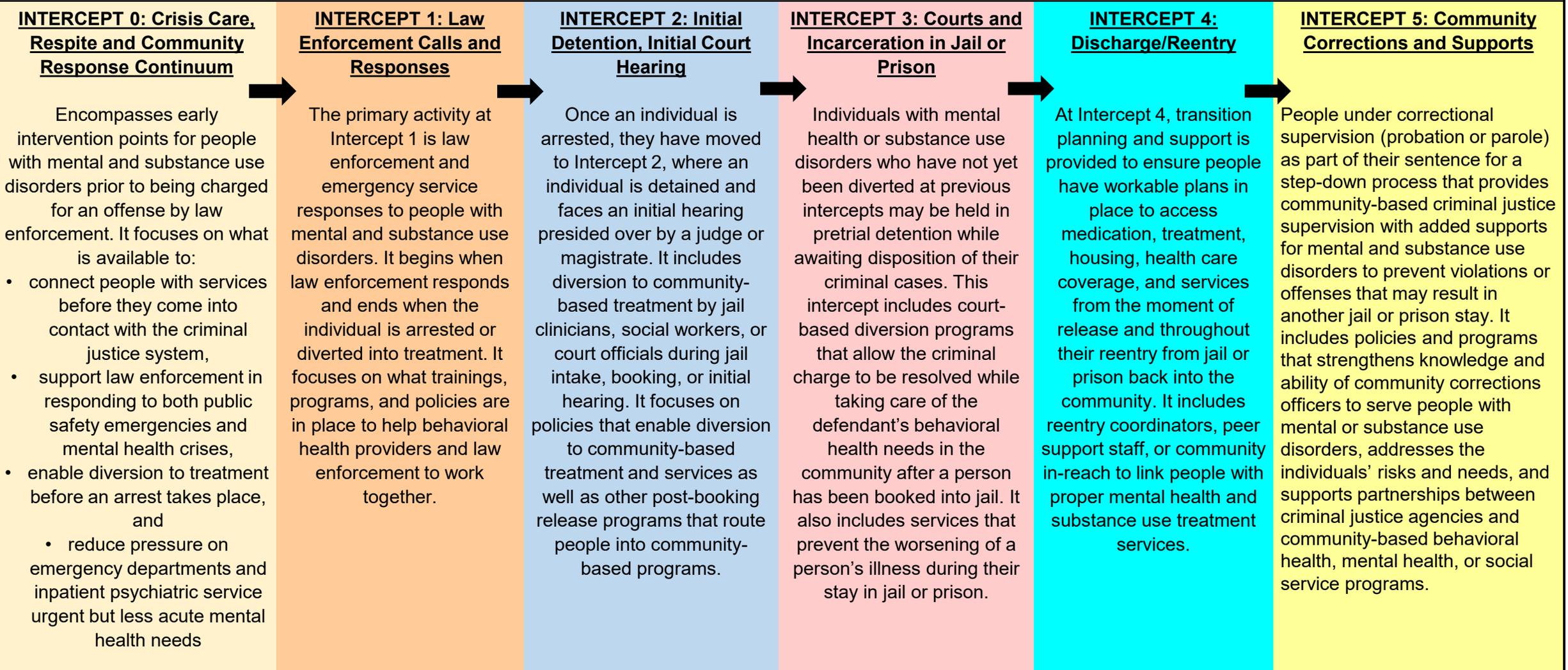
Diversion,  
Specialty, and  
Disposition  
Courts and  
Incarceration in  
Jail or Prison

INTERCEPT 4:  
Reentry from  
Jail or Prison

## INTERCEPT 5:

Community  
Corrections  
(Parole &  
Probation) and  
Supports

# Overview of What Each Intercept Includes



# Sequential Intercept Model (SIM): How It's Used

The SIM is used to show a comprehensive picture of possible pathways for people with behavioral health needs going through the criminal justice system

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A multi-disciplinary team identifies gaps, resources, and opportunities at each intercept - aligning diversion and treatment strategies with the stage of justice involvement

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Monitoring and assessing data at different points across the SIM helps guide development of priorities for action needed to improve system and service level responses for adults with mental and/or substance use disorders, including processes, policies, and programs that impact success in reducing justice system involvement and returns to jail for individuals with significant behavioral health needs

# Sacramento County Adult Sequential Intercept Model (SIM): Multi-Disciplinary Teamwork

Sacramento's Multi-Disciplinary Team is a Criminal Justice Cabinet (CJC) Workgroup that originally created the local SIM to develop and implement changes to reduce recidivism and enhance pretrial diversion for mentally ill defendants

- In 2019, the team recommended the Resolution approved by the County Board of Supervisors to join the National Stepping Up Initiative to help guide work to reduce the use of jail for people with mental illness/behavioral health needs who can be safely diverted to community-based alternatives
- The team meets regularly to identify gaps and develop solutions, using the SIM as a mechanism to support data collection and analysis for programs and services at each Intercept
- The team created and periodically updates the Adult SIM to show potential pathways from initial contact to system exit for individuals with behavioral health needs
  - The SIM includes a brief description of each service (Inventory of Services)
- The team aims to sustain effective justice and behavioral health collaboration addressing issues impacting an individual's ability to exit and stay out of the justice system

# Using the Adult SIM Tool to Successfully Address Criminogenic and Behavioral Health Issues

The SIM standardizes communication across different systems and for the general public regarding justice and behavioral health partnerships and collaborative efforts in Sacramento County.



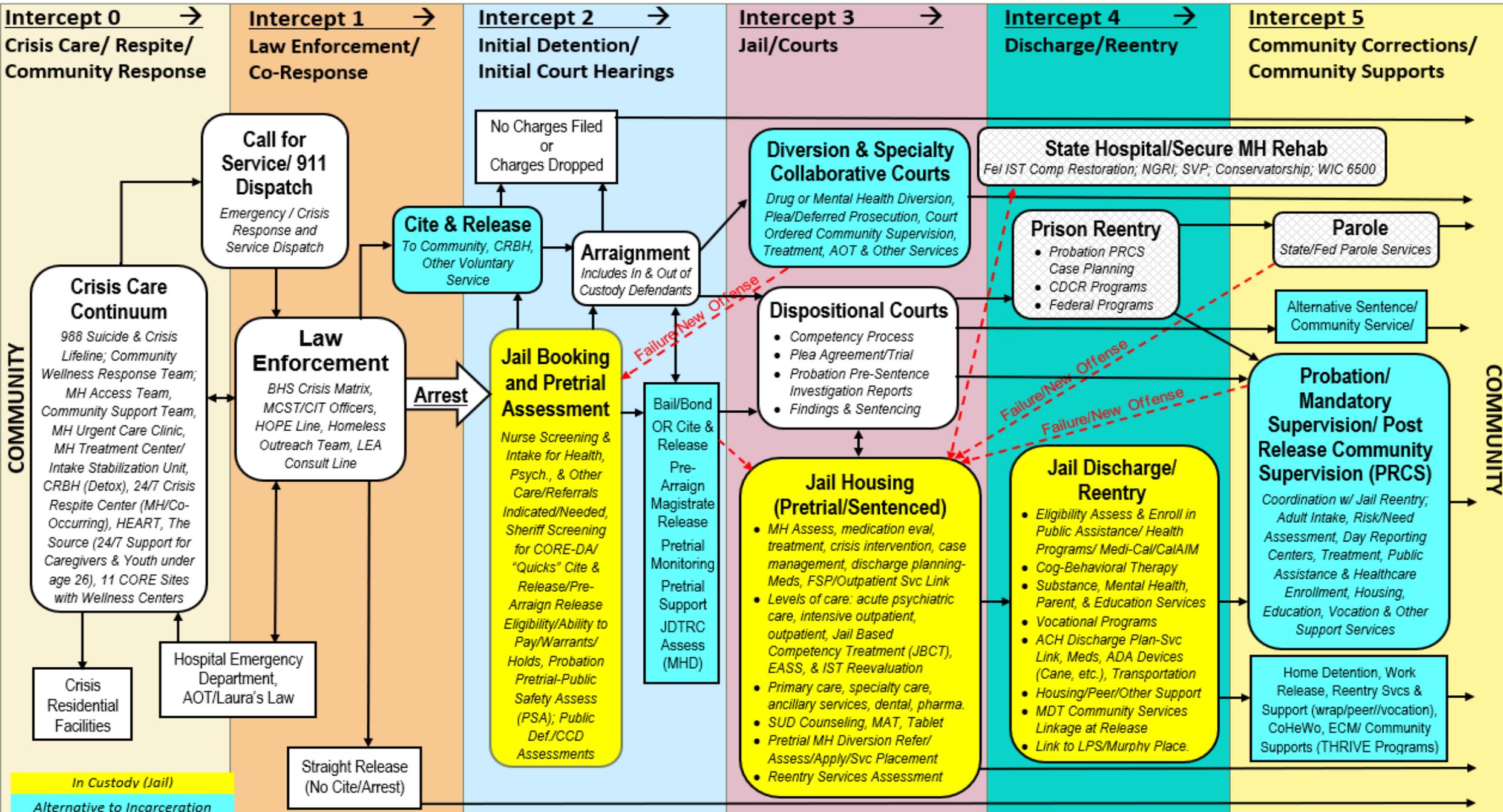
The SIM also helps address misconceptions and promote cross-system problem solving, collaboration, and coordination through research and data-informed decision making.



Research has shown:

- ~Symptoms from behavioral health disorders rarely cause crime
- ~Behavioral health services alone rarely reduce crime
- ~Specialized treatment, supervision, and support services together reduce recidivism

# Sacramento County Adult Sequential Intercept: Criminal Justice-Behavioral Health Partnerships



# Sacramento County Adult SIM: Sharing What We Do

Periodic updates of the SIM help ensure stakeholders have a resource identifying current service and program opportunities available for justice involved individuals

AND identify access points for treatment and support services available at different points for people going through the criminal justice system in Sacramento County

Sacramento's Adult SIM doesn't just map services available across different stages in the criminal justice continuum, it also provides an inventory of services that briefly explain what those services are

# SIM Inventory of Services Last Updated September 2024

- 911 Dispatch
- 988 Suicide & Crisis Lifeline
- Adult Correctional Health (ACH) (Intake Nurse, Care in
- Adult Day Reporting Centers (ADRC's)
- Behavioral Health Services (BHS) Resources for Law Enforcement
- Community Outreach Recovery Empowerment (CORE)
- Community Support Team (CST)
- Community Wellness Response Team (CWRT)
- Crisis Receiving for Behavioral Health (CRBH) Program
- Crisis Residential Facilities
- Drug Diversion (PC 1000)
- Enhanced Care Management (ECM) and Community Supports (CS)
- Expungement/Record Modification
- Forensic Full Service Partnership (FSP)
- Homeless Engagement and Response Team (HEART)
- Homeless Outreach Team (HOT)
- Incompetent to Stand Trial (IST) Early Access to Stabilization Services (EASS) and Reevaluation
- Jail Based Competency Restoration (JBCT)
- Jail Diversion Treatment and Resource Center (JDTRC)
- Mental Health Access Team
- The Source
- Mental Health Diversion (Terms differ for Misdemeanor & Felony Defendants)
- Mental Health Urgent Care Clinic (MHUCC)
- Mobile Crisis Support Teams (MCSTs)
- Probation Pretrial Services
- Public Defender/Conflict Criminal Defender Pretrial Support
- Public Defender, Conflict Criminal Defender and District Attorney Review
- Public Guardian LPS/Muphy's Conservatorship Placement
- Sacramento County Mental Health Treatment Center (MHTC)
- Sheriff's Alternative Sentencing Program (ASP), Work Project & Home Detention
- Sheriff's Jail Intake
- Sheriff's Reentry Services
- Substance Use Prevention & Treatment (SUPT) Services
- THRIVE Programs

## What Has Changed?

**Criminal Justice  
and Behavioral  
Health Agencies  
Partner to  
Effectively  
Address  
Complex and  
Unique Needs of  
Residents Who  
are Justice-  
Involved**

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Deliberate acknowledgment and directed discussion to bridge cultures and philosophies of each partnering agency and advance collaboration

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Periodic updates to the SIM provide a central resource for identifying the service and program opportunities available AND their access points for individuals who go through our criminal justice system

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Ongoing multi-disciplinary teamwork uses the SIM and its inventory of services to identify gaps and develop and implement plans to address service needs at different steps in the criminal justice process

# Next Steps: Monitor and Assess Data Across the SIM to Determine How Our Partnerships are Working

## WHAT ARE WE TRACKING?

### INTERCEPT 0

Crisis  
Care/Community  
Response Services:

988 Calls (Monthly)?

% Calls →  
CWRT/MCST  
referral?

CRBH/Respite  
utilization (Avg.  
occupancy)?

### INTERCEPT 1

Calls for Emergency  
Service Law  
Enforcement & Co-  
Response:

911 calls flagged  
BH-related (Latest  
Month) %?

CIT/Co-Responder/  
MCST dispatched?

Arrest rate on BH  
calls (%)?

### INTERCEPT 2

Initial Detention &  
Initial Court  
Hearings:

Bookings screened  
for BH need (%)?

% Positive BH  
screen?

Pretrial release to  
MH/SUD caseload?

Specialized pretrial  
caseload capacity  
(slots used)?

### INTERCEPT 3

Diversion, Specialty,  
& Disposition Courts  
and Incarceration in  
Jail or Prison:

Treatment court  
referrals (month)?

Acceptance rate  
(%)?

Program completion  
("graduation") (%)?

Jail BH prevalence  
(% of population)?

### INTERCEPT 4

Reentry from Jail or  
Prison:

Released with ≥30  
days meds (%)?

Follow-up  
appointment  
scheduled (%)?

Insurance  
reactivated (%)?

Linkage to reentry  
services (%)?

### INTERCEPT 5

Community  
Corrections &  
Supports (Parole &  
Probation):

On supervision with  
BH flag (%)?

On specialized  
MH/SUD caseload  
(%)?

Revocation rate  
(%)?

Technical vs. new  
offense (%)?

# Sacramento County's Adult Sequential Intercept Model (SIM)

THE SIM IS POSTED HERE:

<https://oce.saccounty.gov/content/dam/oce/documents/archive/Sacramento%20Adult%20SIM%20-%20Sep%202024%20Update.pdf>

OTHER REPORTS AND RESOURCES ARE AVAILABLE HERE:

<https://oce.saccounty.gov/content/oce/us/en/dce/public-safety-and-justice/reports-and-resources.html>